PTO/SB/21 (05-03)

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09/437,812 Application Number TRANSMITTAL November 10, 1999 Filing Date **FORM** Mark E. Pennell First Named Inventor 2141 Art Unit

(to be used for all correspondence after initial filing) Nguyen, Quang N. **Examiner Name Attorney Docket Number** 50642.00002 Total Number of Pages in This Submission 10 ENCLOSURES (check all that apply) After Allowance Communication to Assignment and Recordation Cover Fee Transmittal Form (in duplicate) Group Sheet (for an Application) Appeal Communication to Board of Request for Corrected Filing Drawing(s) ____ Sheets Appeals and Interferences Receipt

Appeal Communication to Group Amendment and Response (Appeal Notice, Brief, Reply Brief) Licensing-related Papers (7 pages) Proprietary Information Petition After Final RCE Status Request ☐ With RCE Power of Attorney, Revocation Other Enclosure(s) Change of Correspondence Address Extension of Time Request (please identify below): Terminal Disclaimer RECEIVED Return Postcard Request for Refund CD, Number of CD(s) AUG 1 4 2003 IDS and Form 1449 Certified Copy of Priority Remarks Technology Center 2100 Document(s) Response to Missing Parts/ Incomplete Application Declaration/Oath SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Aaron Wininger, Reg. No. 45,229 Firm Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Individual name Palo Alto, CA 94304-1043 Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Aaron Wininger Typed or printed name August 11, 2003 Date Signature

August 11, 2003

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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TO	TAL	AMOUN	IT OF	PAYME	NT

Application Number	09/437,812
Filing Date	November 10, 1999 RECEIVED
First Named Inventor	Mark E. Pennell
Examiner Name	Nguyen, Quang N. AUG 1 4 2003
Art Unit	2141
Attorney Docket No	50642.00002 Technology Center 2100

Attorney Docket No.

Complete if Known

ANSTRUCT OF DAVASENT (about all that apply)				FEE CALCULATION (continued)						
METHOD OF PAYMENT (check all that apply)				3. ADD	ITIONAL	FEES				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None				Large		Small E	ntity			
☑ Deposit Account:				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit			•		1051	130	2051	65	Surcharge - late filing fee or oath	
Account 05-0150			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
				1053	130	1053	130	Non-English specification		
Deposit Account Squire, Sanders & Dempsey L.L.P.			1812	2,520	1812	2,520	For filing a request for reexamination			
Name	ne					920*	1804	920*	Requesting publication of SIR prior to Examiner action	
The Director is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☑ Credit any overpayments ☐ Charge fee(s) indicated below ☑ Credit any overpayments					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge any additional fee(s) during the pendency of this application ☐ Charge fee(s) indicated below, except for the filing fee			1251	110	2251	55	Extension for reply within first month			
to the above-identified deposit account.				1252	410	2252	205	Extension for reply within second month		
		CALCULATION			1253	930	2253	465	Extension for reply within third month	
BASIC FIL Large Entity	ING FEE Small Entity				1254	1,450	2254	725	Extension for reply within fourth month	
	ee Fee	Fee Description			1255	1,970	2255	985	Extension for reply within fifth month	
•• (•,	Code (\$)		Fee Paid	_	1401	320	2401	160	Notice of Appeal	
'**	2001 375	Utility filing fee		\dashv	1402	320	2402	160	Filing a brief in support of an appeal	
1002 1	2002 165	Design filing fee		\dashv	1403	280	2403	140	Request for oral hearing	
1	2003 260 2004 375	Plant filing fee Reissue filing fee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80	Provisional filling f	fee		1452	110	2452	55	Petition to revive - unavoidable	
			1453	1,300	2453	650	Petition to revive – unintentional			
SUBTOTAL (1) (\$) 0			1501	1,300	2501	650	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES			1502	470	2502	235	Design issue fee			
	_		e from Fee		1503	630	2503	315	Plant issue fee	
L			elow Paid	\neg	1460	130	1460	130	Petitions to the Commissioner	
Total Claims 16	-83 **	= <u> 0 </u>	 - -	=	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q	
Independent Claims 3	-13 **	= 0 X	= 0		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent		x	= 0		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Fee Code	Fee Fee Descrip	tion		1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	2202	(\$) Claims in exc	cess of 20		1810	750	2810	375	For each additional invention to be	
1202 18 1201 84	2202	•	claims in excess of	3	1				examined (37 CFR § 1.129(b))	
1201 84	2201		endent claim, if not p		1801	750	2801	375	Request for Continued Examination (RCE)
1203 280	2204		ndependent claims o		1802	900	1802	900	Request for expedited examination	
1205 18	** Reissue claims in excess of 20 and over original patent									
		SUBTOTAL (2)	(\$) O	.1		fee (specify)				
**or number previously paid, if greater; For Reissues, see above					*Redu	iced by E	Basic Filir	ng Fee F	Paid SUBTOTAL (3) (\$) 0	
**or number pre	viously paid,	if greater; For Reiss	sues, see above							

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500
	Aaron willinger		J	Date	August 11, 2003
Signature	-				

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Nguyen, Quang N.

Attorney Docket No. 50642.2

Group Art Unit:

2141

RECEIVED

PATENT

AUG 1 4 2003

Technology Center 2100

In Re Application of

Dili. .. D.4..

Filing Date:

Serial No.

November 10, 1999

Mark E. Pennell, et al.

For: A Web Based Email Control Center

09/437,812

Mail Stop Non-Fee Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Sir:

In response to the office action mailed on May 14, 2003, please amend the application as follows: